



# MC<sup>E</sup>ELHENNY SHEFFIELD

CAPITAL MANAGEMENT

## **McElhenny Sheffield Capital Management, LLC** Form ADV Part 2B Investment Adviser Brochure Supplement

Supervisor: Bruce M. Fraser, Jr.

Supervisor of:  
Jeffrey P. Bradley  
Joshua M. Cohen  
Gerald M. Diduck  
John C. Fowler  
Jesse W. Hauptrief  
Shaun E. Hayes  
Andrew C. Hunt  
Ryan A. McKee  
Grant L. Morris  
Todd S. Terry

August 2017

This brochure supplement provides information about the Firm's Supervised Persons that supplements the McElhenny Sheffield Capital Management, LLC brochure. You should have also received a copy of that brochure. Please contact Bruce M. Fraser, Jr., Chief Compliance Officer, at 214.922.9200 x211 or [bruce@mscm.net](mailto:bruce@mscm.net) if you did not receive McElhenny Sheffield Capital Management, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about the Firm's Supervised Persons is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). You may search this site using a unique identifying number, known as a CRD number for each Supervised Person.



# MC E L H E N N Y S H E F F I E L D

C A P I T A L M A N A G E M E N T

## **BRUCE M. FRASER, JR., born 1969**

Individual CRD# 2301904

### Education

BS, Southern Methodist University

MBA, Southern Methodist University

### Business Background

01/2000-present                      McElhenny Sheffield Capital Management, Managing Partner

01/2005-01/2006                     Entrust, Director of Worldwide Sales Operations

05/1999-01/2005                    i2 Technologies, Director of Corporate Finance

11/1994-05/1999                    Fidelity Investments, Various/Business Analyst

### Disciplinary Information

None

### Other Business Activity

None

### Additional Compensation

None

### Supervision

Mr. Fraser is the founding principal and CCO of MSCM, thus is not subject to additional supervision.

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## **JEFFREY P. BRADLEY, born 1976**

Individual CRD# 4022578

### Education

BBA, Texas A&M

### Business Background

05/2014-present                      MSCM, Wealth Manager

10/2009-05/2014                     Merrill Lynch, Senior Financial Advisor

10/2005-10/2009                    Bank of America, Financial Advisor

08/2001-10/2005                    Bank One/Chase, Senior Investment Representative

09/1999-08/2001                    Edward Jones, Investment Representative

### Disciplinary Information

None

### Other Business Activity

None



# MC E L H E N N Y S H E F F I E L D

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## Additional Compensation

None

## Supervision

Mr. Bradley is supervised by Mr. Fraser pursuant to MSCM's policies and procedures.

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## **Joshua M. Cohen, born 1968**

Individual CRD# 5557535

## Business Background

07/2017-present	MSCM, Wealth Manager
09/2016-07/2017	Ackerman Capital Partners, Partner
07/2015-09/2016	Paradigm Financial Partners, Managing Partner
03/2008-12/2010	Quad Asset Management, Principal

## Disciplinary Information

None

## Other Business Activity

None

## Additional Compensation

None

## Supervision

Mr. Cohen is supervised by Mr. Fraser pursuant to MSCM's policies and procedures.

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## **Gerald M. Diduck, born 1965**

Individual CRD# 4463753

## Business Background

01/2017-present	MSCM, Wealth Manager
02/2014-12/2016	Self-employed, non-investment related personal consultancy
02/2002-01/2014	KCCI, Ltd, Registered Representative

## Disciplinary Information

None

## Other Business Activity



# MC ELHENNY SHEFFIELD

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None

Additional Compensation

None

Supervision

Mr. Diduck is supervised by Mr. Fraser pursuant to MSCM's policies and procedures.

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**JOHN C. FOWLER, born 1979**

Individual CRD# 4910295

Education

BS, University of Texas - Arlington  
CERTIFIED FINANCIAL PLANNER™ (CFP)  
National Social Security Advisor (NSSA)

Business Background

01/2015-present	MSCM, Wealth Manager
04/2008-01/2015	TD Ameritrade, Investment Consultant
05/2004-04/2008	Fidelity Investments Institutional Services Co, Various Financial Consultancy
12/2002-05/2004	HCA Hospital, Radiology Assistant
06/2001-03/2002	Northrop Grumman I.T., Programmer/Analyst

Disciplinary Information

None

Other Business Activity

None

Additional Compensation

None

Supervision

Mr. Fowler is supervised by Mr. Fraser pursuant to MSCM's policies and procedures.

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**JESSE W. HAUPTRIEF, born 1944**

Individual CRD# 844345

Education

BS, Texas State University  
CHARTERED LIFE UNDERWRITER™ (CLU)



# MC E L H E N N Y S H E F F I E L D

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## Business Background

05/2017-present	MSCM, Wealth Manager
01/2011-05/2017	Resource Wealth, Managing Member
03/2011-06/2015	Pro Equities, Registered Rep
01/2004-12/2010	Geneos Wealth, Registered Rep

## Disciplinary Information

None

## Other Business Activity

None

## Additional Compensation

None

## Supervision

Mr. Hauptrief is supervised by Mr. Fraser pursuant to MSCM's policies and procedures.

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## **Shaun E. Hayes, born 1982**

Individual CRD# 4963876

## Business Background

08/2017-present	MSCM, Wealth Manager
02/2012-07/2017	Merrill Lynch, Financial Advisor
05/2005-02/2012	AXA Advisors, Financial Advisor

## Disciplinary Information

None

## Other Business Activity

None

## Additional Compensation

None

## Supervision

Mr. Hayes is supervised by Mr. Fraser pursuant to MSCM's policies and procedures.

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# MC E L H E N N Y S H E F F I E L D

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## **ANDREW C. HUNT, born 1972**

Individual CRD# 2785394

### Education

BA, Southern Methodist University

MBA, Southern Methodist University

CERTIFIED FINANCIAL PLANNER™ (CFP)

### Business Background

03/2015-present	MSCM, Wealth Manager
02/2013-03/2015	Charles Schwab, Financial Consultant
08/2012-02/2013	Embark, Director
11/2011-07/2012	Merrill Lynch, Financial Advisor
02/2009-10/2011	Smith Anglin Financial, Investment Advisor Representative
11/2005-07/2008	Fidelity Investments, Manager

### Disciplinary Information

None

### Other Business Activity

None

### Additional Compensation

None

### Supervision

Mr. Hunt is supervised by Mr. Fraser pursuant to MSCM's policies and procedures.

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## **Ryan C. McKee, born 1983**

Individual CRD# 5804916

### Education

BBA, West Texas A&M University

### Business Background

05/2016-present	MSCM, Wealth Manager
03/2010-05/2016	SPAR Investment Counsel, Investment Consultant

### Disciplinary Information

None

### Other Business Activity

None



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## Additional Compensation

None

## Supervision

Mr. McKee is supervised by Mr. Fraser pursuant to MSCM's policies and procedures.

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## **GRANT L. MORRIS, born 1979**

Individual CRD# 6707531

## Education

BS, Texas A&M

MBA, Southern Methodist University

CHARTERED FINANCIAL ANALYST (CFA)

## Business Background

10/2016-present	MSCM, Wealth Manager
10/2009-09/2017	AlixPartners, Director
02/2008-10/2009	BVA Group, Analyst
01/2003-02/2008	Fujitsu Network Communications, Industrial Engineer

## Disciplinary Information

None

## Other Business Activity

Currently does consulting work on a part-time basis with AlixPartners. AlixPartners is a consulting firm best known for its work in the turnaround space.

## Additional Compensation

None

## Supervision

Mr. Morris is supervised by Mr. Fraser pursuant to MSCM's policies and procedures.

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## **TODD S. TERRY, born 1968**

Individual CRD# 4435739

## Education

BBA, Texas A&M

MBA, Texas Christian University

CHARTERED FINANCIAL ANALYST (CFA)



# MC E L H E N N Y S H E F F I E L D

C A P I T A L M A N A G E M E N T

## Business Background

01/2015-present	MSCM, Operations/Business Development
01/2011-02/2014	Convergex, Operations/Institutional Sales
09/2009-12/2010	Transfirst, VP M&A/Business Development
03/2001-03/2009	Aspen Advisors, VP

## Disciplinary Information

None

## Other Business Activity

None

## Additional Compensation

None

## Supervision

Mr. Terry is supervised by Mr. Fraser pursuant to MSCM's policies and procedures.